

路博邁證券投資信託股份有限公司 函

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傳真：

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受文者：滙豐(台灣)商業銀行股份有限公司

發文日期：中華民國114年9月9日

發文字號：路信字第1140000091號

速別：普通件

密等及解密條件或保密期限：

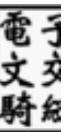
附件：(0000091_01.pdf、0000091_02.pdf)

主旨：為通知 貴公司有關本公司總代理之境外基金之年度股東常會之通知，敬請查照並協助辦理

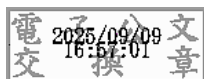
說明：

- 一、詳情請見股東常會通知信函如附，敬請查照並懇請 貴公司協助辦理後續事宜，並請轉知予投資人。
- 二、若您對上述有任何疑問，請不吝與我們聯繫。

正本：國泰世華商業銀行股份有限公司、玉山商業銀行股份有限公司、凱基商業銀行股份有限公司、臺灣新光商業銀行股份有限公司、元大商業銀行股份有限公司、兆豐國際商業銀行股份有限公司、華泰商業銀行股份有限公司、三信商業銀行股份有限公司、安泰商業銀行股份有限公司、京城商業銀行股份有限公司、永豐商業銀行股份有限公司、臺灣中小企業銀行股份有限公司、合作金庫商業銀行、第一商業銀行股份有限公司、陽信商業銀行股份有限公司、台新國際商業銀行股份有限公司、台北富邦商業銀行股份有限公司、高雄銀行股份有限公司、華南商業銀行股份有限公司、台中商業銀行股份有限公司、瑞興商業銀行股份有限公司、聯邦商業銀行股份有限公司、王道商業銀行股份有限公司、遠東國際商業銀行股份有限公司、中國信託商業銀行股份有限公司、板信商業銀行股份有限公司、渣打國際商業銀行股份有限公司、彰化商業銀行股份有限公司、滙豐(台灣)商業銀行股份有限公司、星展(台灣)商業銀行股份有限公司、元大證券股份有限公司、凱基證券股份有限公司、永豐金證券股份有限公司、元富證券股份有限公司、國泰綜合證券股份有限公司、基富通證券股份有限公司、好好證券股份有限公司、中租證券投資顧問股份有限公司、鉅亨證券投資顧問股份有限公司、富邦人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、合作金庫人壽保險股份有限公司、安聯人壽保險股份有限公司、保誠人壽保險股份有限公司、安達國際人壽保險股份有限公司、台灣人壽保險股份有限公司



副本：



裝



訂



線

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

本公司董事（下稱「董事」）就本函所載資料的準確性承擔全部責任，並於作出一切合理查詢後確認，就其所知及所信，並無遺漏其他事實足以導致任何陳述產生誤導。

本函乃重要文件，並需要您立即的關注。如台端對應採取之行動有任何疑問，應向台端之股票經紀人、銀行經理、律師、會計師、稅務顧問或其他獨立財務顧問諮詢意見。如台端已出售或轉讓所有名下股份，請立即將本函交予買受方或受讓人或經手該項交易之股票經紀人、銀行或其他代理人，以便盡快轉交買受方或受讓人。

本函並未經愛爾蘭中央銀行（下稱「中央銀行」）審閱，且本函可能有必要進行變更，以符合中央銀行之要求。路博邁投資基金董事認為本函及所載之提議，並未與中央銀行之規範指引及其規則發生衝突。

2025 年 9 月 8 日

親愛的股東：

路博邁投資基金（下稱「本公司」）年度股東常會

謹致函身為本公司股東之台端。本函旨在通知召開本公司年度股東常會（下稱「股東會」）。

股東會將討論下列事項：

一般事項

1. 宣讀股東會召集通知；
2. 討論董事報告書及本公司截至2024年12月31日為止法定財務報表，以及本公司查核會計師對該等報表之報告書，並查核本公司之事務*；
3. 再次委任安永會計師事務所為本公司查核會計師，任期至本公司審閱法定財務報表之下屆股東會為止。同時，授權董事同意查核會計師之報酬；以及
4. 處理本公司其他一般事務。

* 請注意，本公司截至年度 2024 年 12 月 31 日止的經查核財務報表，已於 2025 年 4 月 24 日發送。如台端需要額外一份經查核財務報表的副本，請以 neuberger.ta@bbh.com 上所載之電子郵件聯繫 Brown Brothers Harriman。

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

1 一般事項

根據本公司備忘錄及章程（下稱「**公司章程**」）以及愛爾蘭公司法，本公司須每年召集股東會，以討論並處理一般事項若干特定項目，即收受並討論前一會計年度（截至 2024 年 12 月 31 日為止）之董事報告書、本公司財務報表及本公司查核會計師對該等報表之報告書。股東會亦將考慮本公司查核會計師之重新委任，並授權董事決定該查核會計師之報酬。

2 程序

如議案經所需之多數決通過，將對所有股東產生拘束力，不論股東如何（或是否）表決。股東會所需之法定出席人數為兩名股東（不論為親自出席或委託出席）。若於股東會指定時間半小時內未達法定出席人數，股東會將延至下週之同日（即 2025 年 10 月 7 日），於同一時間及地點舉行，或經董事決定之其他時間及場地舉行。

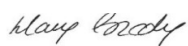
本股東會通知書於附件一檢附委託書，俾台端於股東會投票。請閱讀委託書上之說明，以便您填妥並擲回。台端之委託書至遲須於股東會指定召開時間 48 小時前送達，方屬有效。於台端指派受託人後，台端仍可出席股東會並投票，惟於此等情況下，該受託人無權投票。如台端為公司組織，且擬指派代表人代表台端出席股東會並投票，請使用本股東會通知書附件二之代表函。

3 建議

董事認為決議係符合整體股東之最佳利益，並建議台端投票贊成本股東會通知書所載之決議。

如台端對此事宜有任何疑問，請不吝聯繫台端的銷售代表，或與 Neuberger Berman 基金客服團隊聯繫，電子郵件為：Funds_CSEurope@nb.com；或絡電話為：+44 (0) 20 3214 9096。

誠摯地



董事
路博邁投資基金

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

(中文摘錄暨節譯文)
路博邁投資基金
(下稱「本公司」)

登記辦公室
32 Molesworth Street
Dublin 2
Ireland

股東會通知書

謹通知本公司年度股東常會（下稱「**股東會**」）將在 2025 年 9 月 30 日上午 10 時（愛爾蘭時間），於 32 Molesworth Street, Dublin 2, Ireland 召開，以辦理下列業務：

1. 宣讀股東會召集通知。
2. 審閱本公司董事報告及本公司截至 2024 年 12 月 31 日止之會計年度之法定財務報表暨對該財務報告之本公司稽核機構報告*，並審議本公司之事務。
3. 再次委任 Ernst & Young 擔任本公司之稽核機構（下稱「**稽核機構**」）至次一年度之股東會向本公司交付法定財務報表止，並授權本公司董事同意稽核機構之報酬。
4. 辦理本公司任何其他一般事項。

* 請注意截至 2024 年 12 月 31 日之會計年度之法定財務報表已於 2025 年 4 月 24 日發布。如您額外需要法定財務報表之紙本，請與 Neuberger.ta@bbh.com 聯繫。

日期 2025 年 9 月 8 日

經董事會指示



代表

MFD Secretaries Limited
公司秘書

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

注意事項

- 有權出席並於股東會投票之股東，有權指派一名或多名受託人為其出席並投票。
- 受託人毋須為本公司之股東。
- 如股東為公司組織，本委託書表格應加蓋公司組織之印章，或由經書面合法授權之主管或代理人簽署。
- 本委託書表格及授權書或其他授權（如有），業經簽署或公證核實之版本，至遲應於**不晚於會議之 48 小時前**寄回 32 Molesworth Street, Dublin 2, Ireland。亦得以電子郵件傳送，並請寄至#MF-FundscosecDublin@maples.com，收件人為 Niamh Coyle/Paul Wymes。
- 任何有權收到本通知但意外漏未經寄發或未經收受之股東，均不得主張股東會之程序為無效。
- 如果您係透過分銷機構或其他中介機構申購，且您的股份係以他們的名義持有，如果您希望就年度股東會投票或指定代理人，及取得相關截止日期的訊息，請聯繫您的分銷機構或其他中介機構。

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

附件一
路博邁投資基金
(下稱「本公司」)
授權書

本人/吾等為_____之_____ (下稱「股東」)，身為本公司之股東，茲此委任主席，或 MFD Secretaries Limited 之代表 (如前者不能時)，或_____之_____ (如前者不能時) 擔任為本股東之受託人，代表並為本股東於 2025 年 9 月 30 日上午 10 時召開之本公司年度股東常會及任何本會議之延會出席、發言並投票。

受託人將投票如下：

| 對受託人之投票指示 (選項以「X」標註) | | | |
|---|-----------|-----------|-----------|
| 議案之名稱或內容描述： | 贊成 | 棄權 | 反對 |
| 審閱本公司董事報告及本公司截至 2024 年 12 月 31 日止之會計年度之法定財務報表暨對該財務報告之本公司稽核機構報告，並審議本公司之事務。 | | | |
| 再次委任 Ernst & Young 擔任本公司之稽核機構 (下稱「稽核機構」) 至次一年度之股東常會向本公司交付法定財務報表止，並授權本公司董事同意稽核機構之報酬。 | | | |
| 除另有指示，受託人應依其認為適當者投票。 | | | |
| 股東簽名 _____ | | | |
| 日期： _____ | | | |

注意事項：

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

- (a) 如股東為公司組織，此委託書表格應加蓋公司組織之印章，或由經書面合法授權之主管或代理人簽署。
- (b) 本委託書表格及授權書或其他授權（如有），業經簽署或公證核實之版本，至遲應於不晚於會議之 48 小時前寄回 32 Molesworth Street, Dublin 2, Ireland。亦得以電子郵件傳送或傳真影本，並請寄至#MF-FundscosecDublin@maples.com，收件人為 Niamh Coyle / Paul Wymes。
- (c) 除另有指示，受託人應依其認為適當者投票。
- (d) 如為共同股東之情形，得由首位列名之股東簽署。
- (e) 若您希望依您選擇受託人，請刪除「主席」一詞並填入所擬委託之受託人姓名（受託人不須為本公司股東）。
- (f) 寄回填妥之委託書並不會排除本公司股東親自出席及投票之權利。
- (g) 如果您透過分銷機構或其他中介機構申購，且您的股份係以他們的名義持有，如果您希望就年度股東常會投票或指定代理人，及取得相關截止日期的訊息，請聯繫您的分銷機構或其他中介機構。

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)

附件二

路博邁投資基金 (下稱「本公司」)

代表函

致： 董事
路博邁投資基金
32 Molesworth Street, Dublin 2
Ireland

親愛的先生/女士：

吾等為_____之
_____ (下稱「本公司」)，身為路博邁投資
基金之股東，茲通知您，依據本公司董事會之決議，茲委託審議普通決議案之股東常
會之主席，或 MFD Secretaries Limited 之代表 (如前者不能時)，或
_____ 之 _____ (如前者不能
時) 擔任本公司之代表人，出席並代表本公司於路博邁投資基金將於 2025 年 9 月 30
日，於 2025 年 9 月 8 日之通知中所載時間，於 32 Molesworth Street, Dublin 2, Ireland
舉行之年度股東常會中投票，或其任何延會。

經委託之人使本公司如同個人股東一般，有權就本公司所持有路博邁投資基金之股份
於該等會議行使相同之權力，且經授權代表本公司就有關任何一般事項，代表本公司
簽署該等會議必要之同意。

簽署人 _____
合法授權主管
代表

日期：

Neuberger Berman Investment Funds PLC

32 Molesworth Street

Dublin 2

Ireland

(an umbrella fund with segregated liability between sub-funds)

The Directors of the Company (the “Directors”) accept full responsibility for the accuracy of the information contained in this circular and confirm, having made all reasonable enquiries that, to the best of their knowledge and belief, there are no other facts, the omission of which would make any statement misleading.

This circular is important and requires your immediate attention. If you are in doubt as to the action to be taken, you should seek advice from your stockbroker, bank manager, solicitor, accountant, tax adviser or other independent financial adviser. If you have sold or transferred all of your Shares, please pass this circular at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected, for transmission to the purchaser or transferee as soon as possible.

This circular has not been reviewed by the Central Bank of Ireland (the “Central Bank”) and it is possible that changes thereto may be necessary to meet the Central Bank’s requirements. The Directors are of the opinion that there is nothing contained in this circular nor in the proposals detailed herein that conflicts with the applicable regulations or the guidance issued by the Central Bank.

8 September 2025

Dear Shareholder,

**ANNUAL GENERAL MEETING OF NEUBERGER BERMAN INVESTMENT FUNDS PLC
(THE “COMPANY”)**

We are writing to you in your capacity as a Shareholder of the Company. The purpose of this circular is to convene the Annual General Meeting (the “AGM”) of the Company.

The matters to be addressed at the AGM are as follows:

Ordinary Business

1. To read the notice convening the AGM,
2. To consider the report of the Directors and the Company’s statutory financial statements for the period ended 31 December 2024, together with the report of the Company’s auditor thereon and review the Company’s affairs*,
3. To re-appoint Ernst & Young as auditor of the Company to hold office until the conclusion of the next general meeting at which the statutory financial statements are laid before the Company and to authorise the Directors to agree the remuneration of the auditor, and
4. To transact any other ordinary business of the Company.

* Please be advised that the Company’s audited financial statements for the year ended 31 December 2024 were circulated on 24 April 2025. Should you require an additional copy of the audited financial statements, please contact Brown Brothers Harriman by email at neuberger.ta@bbh.com.

1 Ordinary Business

In accordance with the Memorandum and Articles of Association of the Company (the “M&A”) and Irish company law, the Company must convene an AGM each year to consider and transact certain specific items of ordinary business, being the receipt and consideration of the directors’ report and the Company’s financial statements, with the auditor’s report thereon, for the last accounting period, being the year ended 31 December 2024. The AGM will also consider the re-appointment of the Company’s auditor and the authorisation of the Directors to fix the auditor’s remuneration.

2 Procedure

If the resolutions are passed by the requisite majority, they will be binding on all Shareholders irrespective of how (or whether) they voted. The quorum for the AGM is two Shareholders present either in person or by proxy. If within half an hour of the time appointed for a meeting a quorum is not present, the meeting will be adjourned to the same day in the next week, being 7 October 2025, at the same time and place or to such other time and place as the Directors may determine.

A proxy form to enable you to vote at the AGM is enclosed with this circular at Appendix I to the notice of the AGM. Please read the notes printed on the form, which will assist you in its completion and return. To be valid, your form of proxy must be received not later than forty-eight hours before the time appointed for the AGM. You may attend and vote at the AGM even if you have appointed a proxy but, in such circumstances, the proxy is not entitled to vote. If you are a corporate entity, you may wish to appoint a representative to attend and vote at the AGM on your behalf and a form of Letter of Representation is attached as Appendix II to the Notice of the AGM for this purpose.

3 Recommendation

The Directors are of the opinion that the resolutions are in the best interests of Shareholders as a whole and recommend that you vote in favour of the resolutions set out in the notice of the AGM.

Should you have any queries in relation to this matter, do not hesitate to contact your sales representative, or contact Neuberger Berman’s client services team at Funds_CSEurope@nb.com or +44 (0)203 214 9096.

Yours sincerely



Director
Neuberger Berman Investment Funds PLC

**NEUBERGER BERMAN INVESTMENT FUNDS PLC
(THE "COMPANY")**

REGISTERED OFFICE

32 Molesworth Street
Dublin 2
Ireland

AGM NOTICE

NOTICE IS HEREBY GIVEN that the annual general meeting of the Company (the "**AGM**") will be held at 32 Molesworth Street, Dublin 2, Ireland, on 30 September 2025, at 10.00am (Irish time) for the transaction of the following business:

1. To read the notice convening the AGM.
2. To consider the report of the Company's directors and the Company's statutory financial statements for the year ended 31 December 2024, together with the report of the Company's auditor thereon* and to review the Company's affairs.
3. To re-appoint Ernst & Young as the auditor of the Company (the "**Auditor**") to hold office until the conclusion of the next general meeting at which the statutory financial statements are laid before the Company and to authorise the directors of the Company to agree the remuneration of the Auditor.
4. To transact any other ordinary business of the Company.

* Please be advised that the statutory financial statements for the year ended 31 December 2024 were circulated on 24 April 2025. Should you require an additional copy of the statutory financial statements please contact Neuberger.ta@bbh.com.

DATED 8 SEPTEMBER 2025

BY ORDER OF THE BOARD



**For and on behalf of
MFD Secretaries Limited,
Company Secretary**

NOTES

- A member entitled to attend and vote at the AGM is entitled to appoint one or more proxies to attend and vote instead of him or her.
- A proxy need not be a member of the Company.
- In the case of a body corporate, the proxy form must be either under seal of the body corporate or under the hand of an officer or attorney duly authorised in writing.
- The proxy form together with the power of attorney or other authority, if any, under which it is signed, or a notarially certified copy of that power or authority, must be deposited at 32 Molesworth Street, Dublin 2, Ireland, **no later than 48 hours before the time of the meeting**. An emailed copy will be accepted and can be sent for the attention of Niamh Coyle/Paul Wymes to #MF-FundscosecDublin@maples.com.
- The accidental omission to give notice of the AGM to, or the non-receipt of notice of the AGM by, any person entitled to receive notice shall not invalidate the proceedings at the AGM.
- If you have subscribed through a distributor or other intermediary and your shares are held in their name, please contact your distributor or other intermediary if you wish to vote or appoint a proxy with respect to the AGM and for information regarding the deadlines for doing so.

APPENDIX I

NEUBERGER BERMAN INVESTMENT FUNDS PLC (the "Company")

FORM OF PROXY

I / We _____

of _____ (the "**Member**")

being a member of the Company hereby appoint the Chairperson (or failing him/her), a representative
of MFD Secretaries Limited or (failing him/her),
_____ of

as the proxy of the Member to attend, speak and vote for the Member on behalf of the Member at the annual general meeting of the Company to be held on 30 September 2025, at 10.00am, and at any adjournment of the meeting.

The proxy is to vote as follows:

| Voting instructions to Proxy (choice to be marked with an "X") | | | |
|--|------------------|----------------|----------------|
| Name or description of resolution: | <i>In Favour</i> | <i>Abstain</i> | <i>Against</i> |
| To consider the report of the Company's directors and the Company's statutory financial statements for the year ended 31 December 2024, together with the report of the Company's auditor thereon* and review the Company's affairs. | | | |
| To re-appoint Ernst & Young as the auditor of the Company (the " Auditor ") to hold office until the conclusion of the next general meeting at which the statutory financial statements are laid before the Company and to authorise the directors of the Company to agree the remuneration of the Auditor. | | | |
| <i>Unless otherwise indicated the proxy shall vote as he or she thinks fit</i> | | | |
| Signature of Member _____ | | | |
| Dated : _____ | | | |

NOTES:

- (a) In the case of a body corporate, the proxy form must be either under seal of the body corporate or under the hand of an officer or attorney duly authorised in writing.
- (b) The proxy form together with the power of attorney or other authority, if any, under which it is signed, or a notarially certified copy of that power or authority, must be deposited at 32 Molesworth Street, Dublin 2, Ireland, no later than 48 hours before the time of the meeting. An emailed or faxed copy will be accepted and can be sent for the attention of Niamh Coyle / Paul

Wymes at [@MF-FundscosecDublin](https://twitter.com/MF-FundscosecDublin) on [maples.com](https://www.maples.com).

- (c) Unless otherwise instructed the proxy will vote as he/she thinks fit.
- (d) In the case of joint shareholders the signature of the first named shareholder will suffice.
- (e) If you wish to appoint a proxy of your choice delete the words “the Chairperson” and insert the name of the proxy you wish to appoint (who need not be a member of the Company).
- (f) The returning of a form of proxy duly completed will not prevent a member in the Company from attending and voting in person.
- (g) If you have subscribed through a distributor or other intermediary and your shares are held in their name, please contact your distributor or other intermediary if you wish to vote or appoint a proxy with respect to the annual general meeting and for information regarding the deadlines for doing so.

APPENDIX II

NEUBERGER BERMAN INVESTMENT FUNDS PLC

LETTER OF REPRESENTATION

To: The Directors
Neuberger Berman Investment Funds PLC
32 Molesworth Street
Dublin 2
Ireland

Dear Sirs

We, _____,
of _____

(the "**Company**") being a shareholder in Neuberger Berman Investment Funds PLC hereby notify you that pursuant to a resolution of our board of directors, the chairperson of the shareholders' meeting to consider the ordinary resolutions, or (failing him/her), a representative of MFD Secretaries Limited, of 32 Molesworth Street, Dublin 2, Ireland, or (failing him/her), _____ of _____ has been appointed as the Company's representative to attend and vote on the Company's behalf at the annual general meeting of Neuberger Berman Investment Funds PLC to be held at 32 Molesworth Street, Dublin 2, Ireland, on 30 September 2025, at the time set out in the notice, dated 8 September 2025, or any adjournment thereof.

Such person so appointed shall be entitled to exercise the same powers at any such meeting in respect of our shares in Neuberger Berman Investment Funds PLC as we could exercise if we were an individual shareholder and is empowered to sign any necessary consents in connection with any such annual general meeting, with respect to any ordinary business on behalf of the Company.

Signed _____
Duly authorised officer
For and on behalf of

Date