

匯豐中華證券投資信託股份有限公司 函



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發文日期：中華民國111年04月11日

發文字號：(111)華金字第1110077號

速別：速件

主旨：謹通知有關本公司總代理之匯豐環球投資系列基金於自 2022 年 4 月 12 日起採取的限制性措施，詳如說明，請 查照惠辦。

說明：

匯豐集團遵守歐盟理事會條例(EU)第 833/2014 號施行的限制，鑒於俄羅斯入侵烏克蘭而採取的限制性措施，以及歐盟理事會條例所修訂(EC)第 765/2006 號條例，鑒於白俄羅斯涉入俄羅斯對烏克蘭的侵略而採取的限制措施；

一、根據上述歐盟理事會條例所定之限制性措施，自 2022 年 4 月 12 日起，匯豐環球投資系列基金將不會接受以下直接/間接之投資者投資/再投資(包括任何單筆申購、轉申購、新增定時投資扣款契約或異動原定時投資扣款契約內容(調增金額或扣款次數))；

①居住在任何地方的俄羅斯或白俄羅斯國民

②居住在俄羅斯或白俄羅斯的自然人

③在俄羅斯/白俄羅斯設立的任何法人、企業或機構，包含其設立於其他國家之分支機構或代表辦事處。

請注意：這些禁令不適用於歐盟成員國的國民，或在歐盟成員國擁有臨時或永久居留許可的自然人。對俄羅斯/白俄羅斯國民或居民的既有投資沒有影響。

二、其他未列或未定事項，請詳參隨函檢附之境外基金機構提供之通知信英文版本匯豐環球投資系列基金基金公開說明書、投資人須知或 貴我雙方合約之規定辦理。

正本：臺灣銀行、第一商業銀行、華南商業銀行、彰化商業銀行、上海商業儲蓄銀行、國泰世華商業銀行、兆豐國際商業銀行、臺灣中小企業銀行、匯豐銀行財富管理部、匯豐銀行基金作業部、法國巴黎銀行、元大商業銀行、永豐銀行理財商品部、玉山商業銀行、星展(台灣)銀行財富管理部、星展(台灣)銀行信託部、中國信託商業銀行、基富通證券、中租投顧、鉅亨投顧、香港上海匯豐證券、元大證券、安聯人壽、臺灣人壽、康健人壽、法國巴黎人壽、合作金庫人壽

總經理焦新

SALE OF EURO DENOMINATED TRANSFERABLE SECURITIES ISSUED AFTER 12 April 2022 AND UNITS IN COLLECTIVE INVESTMENT UNDERTAKINGS PROVIDING EXPOSURE TO SUCH SECURITIES

Dear Distributor

April 11, 2022

The Article 5f of EU Council Regulation No 833/2014 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine, as amended, prohibits the sale of euro denominated transferable securities issued after 12 April 2022, or units in collective investment undertakings providing exposure to such securities, to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia.

The Article 1y of EU Council Regulation No 765/2006 concerning restrictive measures in view of the situation in Belarus and the involvement of Belarus in the Russian aggression against Ukraine, as amended, prohibits the sale of euro denominated transferable securities issued after 12 April 2022 or units in collective investment undertakings providing exposure to such securities, to any Belarusian national or natural person residing in Belarus or any legal person, entity or body established in Belarus.

As a result of above prohibitions, from 12th April 2022, HSBC Global Investment Funds (HGIF) will not accept any direct or indirect investments / reinvestments from;

(i) a Russian or Belarusian national wherever resident; or

(ii) a natural person residing in Russia or Belarus; or

(iii) a legal person, entity or body established in or incorporated in Russia or Belarus, including for this purpose a branch or representative office of such legal person, entity or body located outside of Russia or Belarus (as applicable).

Please note that these prohibitions do not apply to the nationals of EU member states, or natural persons having a temporary or permanent residence permit in an EU member state. There is no impact on the existing investments of Russian/Belarusian national or resident

HSBC Global Investment Funds (HGIF) will update the application forms / subscription forms to seek an attestation from the distributors / investors that they are not subscribing for or on behalf of individual's / entities subject to the above prohibitions.

We would therefore remind you of the terms of your distribution agreement, requiring compliance with all applicable law and regulation and request your support in making arrangements at your end to provide the attestation to us and to ensure that all reinvestments are made in compliance with the EU regulation.

Please reach out to your Relationship Manager or Service Director should you wish to discuss this matter further.